



# Joint Health and Social Care Self-Assessment Framework

## Healthcare

### Demographics

You should obtain this information from general practices. You can do this directly either by the Clinical Commissioning Group (CCG) or Commissioning Support Unit (CSU) using MiQuest queries, or by direct liaison with practices. Primary Care Trusts and GP practices may also know this information from routine liaison in relation to Health Checks. In some areas, primary care contracting requires information flows to support this.

You should aim to provide this data broken down by **age bands** and **ethnicity**. However, if you are unable to provide an age breakdown at this level then **either** report the data by the number of people of aged **0 to 17** years old and aged **18 and over**, **Or** the numbers for **all ages**. These are the last three options in questions 1 to 3.

Please note recorded as being from an ethnic minority means that a person's ethnic category (if declared) is different from the English ethnic majority. That is to say they are not 'British (White)'. This refers to the term as defined for the [NHS data dictionary](#).

1. How many people with any learning disability are there in your Partnership Board area?

1.1 Aged 0 to 13 years old

1.2 Aged 14 to 17 years old

1.3 Aged 18 to 34 years old

1.4 Aged 35 to 64 years old

1.5 Aged 65 years old and over

1.6 Aged 0 to 17 years old and recorded as being from an ethnic minority

1.7 Aged 18 years old and over and recorded as being from an ethnic minority

If you are unable to provide an age breakdown at this level of detail then complete either questions 1.8 and 1.9, question OR 1.10.

1.8 Aged 0 to 17 years old

1.9 Aged 18 years old and over

1.10 All ages

## 2. How many people with complex or profound learning disability are there in your Partnership Board area?

Complex or profound learning disability here means learning disability complicated by severe problems of continence, mobility or behaviour, or severe repetitive behaviour with no effective speech (i.e. representing severe autism) (Institute of Public Care, (2009) Estimating the prevalence of severe learning disability in adults. [IPC working paper](#)).

### 2.1 Aged 0 to 13 years old

### 2.2 Aged 14 to 17 years old

### 2.3 Aged 18 to 34 years old

### 2.4 Aged 35 to 64 years old

### 2.5 Aged 65 years old and over

### 2.6 Aged 0 to 17 years old and recorded as being from an ethnic minority

### 2.7 Aged 18 years old and over and recorded as being from an ethnic minority

If you are unable to provide an age breakdown at this level of detail then complete either questions 2.8 and 2.9, question OR 2.10.

### 2.8 Aged 0 to 17 years old

### 2.9 Aged 18 years old and over

### 2.10 All ages

3. How many people with both any learning disability and an Autistic Spectrum Disorder are there in your Partnership Board area?

3.1 Aged 0 to 13 years old

3.2 Aged 14 to 17 years old

3.3 Aged 18 to 34 years old

3.4 Aged 35 to 64 years old

3.5 Aged 65 years old and over

3.6 Aged 0 to 17 years old and recorded as being from an ethnic minority

3.7 Aged 18 years old and over and recorded as being from an ethnic minority

If you are unable to provide an age breakdown at this level of detail then complete either questions 3.8 and 3.9, question OR 3.10.

3.8 Aged 0 to 17 years old

3.9 Aged 18 years old and over

3.10 All ages

## Screening

This information should be obtained from GP practices. This may either be done directly by the CCG or CSU using MiQuest queries, or by direct liaison with practices. Directors of Public Health should be monitoring this routinely as an equalities issue.

The total eligible population includes people with and without learning disabilities unless otherwise stated.

#### 4. How many women are there eligible for cervical cancer screening?

- The eligible population are women aged 25 to 64 years old inclusive and who have not had a hysterectomy.
- The population who had a cervical smear test in the last three years (1st April 2010 to 31st March 2013 inclusive) if aged 25 to 49 years old or else in the last five years (1st April 2008 to 31st March 2013 inclusive) if aged 50 to 64 years old

##### 4.1 Number of total eligible population

##### 4.2 Number of total eligible population who had a cervical smear test

##### 4.3 Number of eligible population with learning disabilities

##### 4.4 Number of eligible population with learning disabilities who had a cervical smear test

#### 5. How many women are eligible for breast cancer screening?

- Eligible population are women aged 50 to 69 years old, inclusive.

##### 5.1 Number of total eligible population

##### 5.2 Number of total eligible population who had mammographic screening in the last three years (1st April 2010 to 31st March 2013)

##### 5.3 Number of eligible population with learning disabilities

##### 5.4 Number of eligible population with learning disabilities who had mammographic screening in the last three years (1st April 2010 to 31st March 2013)

## 6. How many people are eligible for bowel cancer screening?

- Eligible population are people aged 60 to 69 years old, inclusive.

### 6.1 Number of total eligible population

### 6.2 Number of total eligible population who satisfactorily completed bowel cancer screening in the last two years (1st April 2011 to 31st March 2013)

### 6.3 Number of eligible population with learning disabilities

### 6.4 Number of eligible population with learning disabilities who satisfactorily completed bowel cancer screening in the last two years (1st April 2011 to 31st March 2013)

## Wider Health

This information should be obtained from GP practices. This may either be done directly by the CCG or CSU using MiQuest queries, or by direct liaison with practices. These are routinely available measures of major health issues that should be monitored by Directors of Public Health.

Report how many people there were on the **31st March 2013**.

## 7. How many people with learning disabilities are there aged 18 and over who have a record of their body mass index (BMI) recorded during the last two years (1st April 2011 to 31st March 2013)?

## 8. How many people with learning disabilities are there aged 18 and over who have a BMI in the obese range (30 or higher)?

## 9. How many people with learning disabilities are there aged 18 and over who have a BMI in the underweight range (where BMI is less than 15 as per Health Equalities Framework indicator 4C)?

## 10. How many people with learning disabilities aged 18 and over are known to their doctor to have coronary heart disease?

As per the Quality and Outcomes Framework (QOF) Established Cardiovascular Disease Primary Prevention Indicator Set.

### 11. How many people with learning disabilities of any age are known to their doctor to have diabetes?

As per the QOF Established Diabetes Indicator Set and include both type I and type II diabetes here.

### 12. How many people with learning disabilities of any age are known to their doctor to have asthma?

As per the QOF Established Asthma Indicator Set

### 13. How many people with learning disabilities of any age are known to their doctor to have dysphagia?

### 14. How many people with learning disabilities of any age are known to their doctor to have epilepsy?

As per the QOF Established Epilepsy Indicator Set

## Mortality

Following the publication of the Confidential Inquiry, Directors of Public Health will want to set up mechanisms to monitor this. Relatively few are likely to be able to answer this question this year. In the longer term this will be produced as part of the NHS Outcomes Framework.

### 15. How many people with a learning disability resident in your Partnership Board area died between 1st April 2012 and 31 March 2013?

#### 15.1 Aged 0 to 13 inclusive

#### 15.2 Aged 14 to 17

#### 15.3 Aged 18 to 34

#### 15.4 Aged 35 to 64

#### 15.5 Aged 65 and older

## Annual Health Check & Health Action Plans

16. How many people with a learning disability aged 18 and over were agreed as eligible for an Annual Health Check under the Directed Enhanced Scheme between 01 April 2012 and 31 March 2013?

17. How many people with a learning disability aged 18 and over had an Annual Health Check under the Directed Enhanced Scheme between 01 April 2012 and 31 March 2013?

18. How many people aged 18 and over with a learning disability have a Health Action Plan?

18.1 Total number eligible

18.2 Total number completed

## Practices participating in Health Checks

Report how many general practices there were on the 31st March 2013.

19. How many GP practices are there in your Partnership Board area?

20. How many GP practices in your Partnership Board area signed up to a Locally Enhanced Services or Directed Enhanced Service for the learning disability annual health check in the year 2012-2013?

## Acute & Specialist Care

Providers should know this as a result of the Compliance Framework.

Report the numbers between 1st April 2012 and 31st March 2013.

21. How many spells of INPATIENT Secondary Care were received by people identified by the provider as having a learning disability under any consultant specialty EXCEPT the psychiatric specialties (Specialty codes 700-715)?

21.1 Number of spells

21.2 Number for people with learning disabilities as percentage of total spells



**22. How many OUTPATIENT Secondary Care Attendances were received by people identified by the provider as having a learning disability under any consultant specialty EXCEPT the psychiatric specialties (Specialty codes 700-715)?**

**22.1 Number of attendances**

**22.2 Number for people with learning disabilities as percentage of total attendances**

**23. How many attendances at Accident & Emergency involved a person with learning disabilities as the patient?**

**23.1 Number of attendances**

**23.2 Number for people with learning disabilities as percentage of attendances**

**24. How many people with a learning disability have attended Accident & Emergency more than 3 times?**

**24.1 Number of people**

**24.2 Number for people with learning disabilities as percentage of total attendances**

## **Continuing Health Care and Aftercare**

Your Local CCG or CSU/Function should have this information.

Report the numbers on the **31st March 2013**.

**25. How many people with a learning disability are in receipt of Continuing Health Care (CHC)?**

**26. How many people with a learning disability are in receipt of care funded through the Section 117 arrangement of the Mental Health Act?**

## Location of mental health and learning disability in-patient care

In most cases, this should be known by CCG and possibly through CSU. Your Local CCG or CSU should have this information.

Report the numbers on the **31st March 2013**.

### 27. How many people with learning disability were in-patients in mental health or learning disability in-patient units (HES speciality function codes 700 to 715) run by providers that provide the normal psychiatric in-patient and community services for the CCGs in your Partnership Board area.

Note: the impact of this question is likely to be the 'missing figures' that relate to those placed out of area and this will be compared with the Winterbourne View data collection/registers.

#### 27.1. Number of people placed primarily due to Challenging Behaviour

##### 27.1.1 Age 0 to 17

##### 27.1.2 Age 18 or older

#### 27.2. Number of people placed primarily due to Mental Health Problems

##### 27.2.1 Age 0 to 17

##### 27.2.2 Age 18 or older

#### 27.3. Number of people placed primarily due to complex physical health needs

##### 27.3.1 Age 0 to 17

##### 27.3.2 Age 18 or older

### 28. How many people with learning disability were in-patients in mental health or learning disability in-patient units commissioned by NHS England (specialised commissioning)?

Note: this question has been changed to clarify what is requested.

#### 28.1. Located in your Partnership area or a CCG area bordering it

28.1.1. Number of people placed primarily due to Challenging Behaviour

28.1.1.1 Age 0 to 17

28.1.1.2 Age 18 or older

28.1.2. Number of people placed primarily due to Mental Health Problems

28.1.2.1 Age 0 to 17

28.1.2.2 Age 18 or older

28.1.3. Number of people placed primarily due to complex physical health needs

28.1.3.1 Age 0 to 17

28.1.3.2 Age 18 or older

28.2. Located elsewhere

28.2.1. Number of people placed primarily due to Challenging Behaviour

28.2.1.1 Age 0 to 17

28.2.1.2 Age 18 or older

28.2.2. Number of people placed primarily due to Mental Health Problems

28.2.2.1 Age 0 to 17

28.2.2.2 Age 18 or older

28.2.3. The Number of people placed primarily due to complex physical health needs

28.2.3.1 Age 0 to 17

28.2.3.2 Age 18 or older

## Reasons for mental health and learning disability in-patient placements

CCG or CSU should have this information. In some cases where commissioning for this group has been partly subcontracted to providers, this may require their input too.

**29. How many people with a learning disability have been admitted once or more often to both in-patient mental health and learning disability care (HES specialty function codes 700-715) at least once between 01 April 2012 and 31 March 2013?**

Count each individual once only.

29.1 Primarily for management of challenging behaviour

29.2 Primarily for other reasons

29.3 Total number of individuals (One individual may in the year have had admissions for both reasons)

**30. How many people with a learning disability were in both in-patient mental health and learning disability care (HES specialty function codes 700-715) on 31 March 2013?**

30.1 Primarily for management of challenging behaviour

30.2 Primarily for other reasons

**31. How many people with a learning disability were in both in-patient mental health and learning disability care (HES specialty function codes 700-715) on 31 March 2013 who had been in-patients continuously in this or other placements for more than 90 days.**

31.1 Primarily for management of challenging behaviour

31.2 Primarily for other reasons

**32. How many people with a learning disability were in both in-patient mental health and learning disability care (HES specialty function codes 700-715) on 31 March 2013 who had been in-patients continuously in this or other placements for more than 730 days (two years).**

**32.1 Primarily for management of challenging behaviour**

**32.2 Primarily for other reasons**

## Challenging Behaviour

CCG or CSU should have this information.

Report all NHS funded hospital care.

**33. Number of people with a learning disability or autism, with challenging behaviour in NHS funded care on the PCT register handed over to the CCG at 31st March 2013.**

**33.1 Number in hospital at index date**

**33.2 Number NOT in hospital at index date**

**34. Number of people with a learning disability or autism, with challenging behaviour in NHS funded care on the CCG register at 30th June 2013.**

**34.1 Number in hospital at index date**

**34.2 Number NOT in hospital at index date**

**35. Number of people in learning disability or autism in-patient beds at 1st December 2012 (Publication of Transforming Care) and number of these whose care has been reviewed in line with the [Ian Dalton Letter](#) between the beginning of December and 1st June 2013.**

**35.1 Number in hospital at index date**

**35.2 Number NOT in hospital at index date**

## Assessment and provision of social care

You should refer to your Local Authority Referrals, Assessments and Packages of Care (RAP) Return data.

Report the numbers between 01 April 2012 and 31 March 2013.

### **36. How many people with learning disabilities received the following between 01 April 2012 and 31 March 2013?**

**36.1 Received a statutory assessment or reassessment of their social care need whose primary client type was learning disability. (A1 and assumedly knowable from sources capable of producing A6 and A7)**

**36.2 Received community-based services whose primary client type was learning disabilities (P1)**

**36.3 Received residential care whose primary client type was learning disabilities (P1)**

**36.4 Received nursing care whose primary client type was learning disabilities (P1)**

## **Inclusion & Where I Live**

Social services statistics unit should have this information. Please note, these are data you should have reported to the Health & Social Care Information Centre (HSCIC) earlier in the year. They are included here so they can be seen in the context of the other data. They will not be published by HSCIC until March 2014.

Report the number of people with learning disability as primary client type.

## **Employment & Voluntary Work**

Refer to Adult Social Care Combined Activity Returns data L1.

**37. How many people with learning disabilities in paid employment (including self-employed known to Local Authorities)?**

**38. How many people with learning disabilities as a paid employee or self-employed (less than 16 hours per week) and not in unpaid voluntary work?**

**39. How many people with learning disabilities as a paid employee or self-employed (16 hours + per week) and not in unpaid voluntary work?**

**40. How many people with learning disabilities as a paid employee or self-employed and in unpaid voluntary work?**

#### 41. How many people with learning disabilities in unpaid voluntary work only?

### Accommodation

Refer to Adult Social Care Combined Activity Returns data L2

**Please note**, the National Adult Social Care Intelligence Service rounds these numbers to nearest five prior to publication. As such, we will take similar precautions when publishing these data.

42. How many people with a learning disability live in or are registered as:

42.1. Rough sleeper/Squatting

42.2. Night shelter/emergency hostel/direct access hostel (temporary accommodation accepting self-referrals)

42.3. Refuge

42.4. Placed in temporary accommodation by Local Authority (including Homelessness resettlement)

42.5. Acute/long stay healthcare residential facility or hospital

42.6. Registered Care Home

42.7. Registered Nursing Home

42.8. Prison/Young Offenders Institution/Detention Centre

42.9. Other temporary accommodation

42.10. Owner Occupier/Shared ownership scheme

42.11. Tenant - Local Authority/Arm's Length Management Organisation/Registered Social Landlord/Housing Association

42.12. Tenant - Private Landlord

42.13. Settled mainstream housing with family/friends (including flat-sharing)



42.14. Supported accommodation/Supported lodgings/Supported group home (accommodation supported by staff or resident caretaker)

42.15. Adult placement scheme

42.16. Approved premises for offenders released from prison or under probation supervision (e.g., Probation Hostel)

42.17. Sheltered Housing/Extra care sheltered housing/Other sheltered housing

42.18. Mobile accommodation for Gypsy/Roma and Traveller community

42.19. What is the total number of people with a learning disability known to the Local Authority?

## Quality

For Health Commissioning Deprivation of Liberty Safeguards refer to Omnibus data collection <http://www.hscic.gov.uk/dols>

## Training

43. How many of Health & Social Care commissioned services implement mandatory learning disabilities awareness training? - We have withdrawn this question.

## Complaints

44. How many complaints have directly led to service change or improvement in learning disabilities services?

## Safeguarding

45. How many adult safeguarding concerns have there been in the year to 31st March 2013 concerning adults with learning disabilities?

46. How many adult safeguarding concerns have been raised in relation to people with learning disabilities that required escalation?

47. What percentage of commissioned accommodation, residential or nursing placements "in borough" have had unannounced visits in the past 12 months?

48. How many commissioned accommodation, residential or nursing placements "out of borough" have had unannounced visits in the past 12 months?

Note: this question has been changed. Please provide the total figure, not the percentage.

## Mental Capacity Act, Deprivation of Liberty Safeguards and Best Interest referrals

49. How many Deprivation of Liberty Safeguards referrals were made by local authorities in 2012-13?

Note: this question has been changed to clarify what is requested.

50. How many Deprivation of Liberty Safeguards referrals were made by CCGs (formerly PCTs) in 2012-13?

Note: this question has been changed to clarify what is requested.

51. How many Best Interest Decisions referrals have been made in 2012-13?

52. What percentage and number of staff in commissioned services have undertaken DOLS training in the last 3 years?

52.1 Percentage

52.2 Number

53. What percentage and number of staff in commissioned services have undertaken Mental Capacity Act training in the last 3 years?

53.1 Percentage

53.2 Number

## Transitions

54. The total school age population in your Partnership Board area

55. The number of people receiving additional assistance in school because of Special Educational Needs, with a primary need category of moderate learning disability.

56. The number of people receiving additional assistance in school because of Special Educational Needs, with a primary need category of severe learning disability.

57. The number of people receiving additional assistance in school because of Special Educational Needs, with a primary need category of profound or multiple learning disability.

58. The number of people receiving additional assistance in school because of Special Educational Needs, with a primary need category of autistic spectrum disorder.

59. The number of people with a learning disability aged 14 to 17 years old who are in receipt of a co-produced transition plan.

## Self-Assessment Framework

This section allows you to rate each measure of the self-assessment framework green, amber or red. You should continually refer to the guidance in order to decide the ratings. The guidance can be downloaded [here](#).

In addition, you can click on each measure which will take to the definition of the measure and the RAG ratings.

In order to rate yourself RED, you must meet the criteria described under this heading In order to rate yourself AMBER, you must meet the criteria described under BOTH the RED and AMBER headings In order to rate yourself GREEN, you must meet the criteria described under the RED, AMBER and GREEN headings

For each indicator, you should provide an explanation as to why you rated it green, amber or red and a link to a webpage containing further evidence to support this rating.

In addition, you can also provide a positive or negative real life stories of experience that explains why you think that indicator is strong or needs improvement.

Please note, we would like you to keep these explanations and stories concise. As such please limit these to 1,000 characters (including spaces). There is a counter underneath each comment box indicating how many characters out of the 1,000 you have used.

### Section A

### [A1. LD QOF register in primary care](#)

- Red  
 Amber  
 Green

Explanation for this rating

Web link to further evidence

Real life story

### [A2. Screening](#)

People with learning disability are accessing disease prevention, health screening and health promotion in each of the following health areas: Obesity, Diabetes, Cardio vascular disease and Epilepsy

- Red  
 Amber  
 Green

Explanation for this rating

Web link to further evidence

Real life story

### [A3. Annual Health Checks and Annual Health Check Registers](#)

- Red  
 Amber  
 Green

Explanation to rating

Web link to further evidence

Real life story

### [A4. Health Action Plans](#)

Health Action Plans are generated at the time of Annual Health Checks (AHC) in primary care and these include a small number of health improving activities. Refer to RCG guidance around health action plans.

- Red  
 Amber  
 Green

Explanation to rating

Web link to further evidence

Real life story

### [A5. Screening](#)

Comparative data of people with learning disability vs. similar age cohort of non-learning disabled population in each health screening area for:

- a) Cervical screening
- b) Breast screening
- c) Bowel Screening (as applicable)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### [A6. Primary care communication of learning disability status to other healthcare providers](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### [A7. Learning disability liaison function or equivalent process in acute setting](#)

For example, lead for Learning disabilities.

Known learning disability refers to data collated within Trusts regarding admission - HES data.

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### [A8. NHS commissioned primary and community care](#)

- \* Dentistry
- \* Optometry
- \* Community Pharmacy
- \* Podiatry
- \* Community nursing and midwifery

This measure is about universal services NOT those services specifically commissioned for people with a learning disability.

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### [A9. Offender Health & the Criminal Justice System](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

## Section B

### [B1. Regular Care Review](#)

Commissioners know of all funded individual health and social care packages for people with learning disability across all life stages and have mechanisms in place for on-going placement monitoring and individual reviews.

Evidence should describe the type (face to face or telephone etc.)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### [B2. Contract compliance assurance](#)

For services primarily commissioned for people with a learning disability and their family carers

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### [B3. Assurance of Monitor Compliance Framework for Foundation Trusts](#)

Supporting organisations aspiring towards Foundation Trust Status

Governance Indicators (learning disability) per trust within the locality

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

#### [B4. Assurance of safeguarding for people with learning disability in all provided services and support](#)

This measure must be read in the context of an expectation that ALL sectors, Private, Public and Voluntary / Community are delivering equal safety and assurance.

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

#### [B5. Training and Recruitment - Involvement](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

#### [B6. Commissioners can demonstrate that providers are required to demonstrate that recruitment and management of staff is based on compassion, dignity and respect and comes from a value based culture.](#)

This is a challenging measure but it is felt to be vital that all areas consider this.

- Red
- Amber
- Green

Explanation to rating

Web link to further evidence



Real life story

[B7. Local Authority Strategies in relation to the provision of support, care and housing are the subject of Equality Impact Assessments and are clear about how they will address the needs and support requirements of people with learning disabilities.](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

[B8. Commissioners can demonstrate that all providers change practice as a result of feedback from complaints, whistleblowing experience](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

[B9. Mental Capacity Act & Deprivation of Liberty](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

## Section C

### C1. Effective Joint Working

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### C2. Local amenities and transport

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### C3. Arts and culture

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### C4. Sport & leisure

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

[C5. Supporting people with learning disability into and in employment](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

[C6. Effective Transitions for young people](#)

A Single Education, Health and Care Plan for people with learning disability

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

[C7. Community inclusion and Citizenship](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### [C8. People with learning disability and family carer involvement in service planning and decision making including personal budgets](#)

This measure seeks to stimulate areas to examine what co-production means and demonstrate clear and committed work to embedding this in practice.

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

### [C9. Family Carers](#)

- Red
- Amber
- Green

Explanation for rating

Web link to further evidence

Real life story

**Have you looked at the PDF output and agree that all the answers as they appear on it are correct?**

To do this, click [Return to front page](#) then click on 'View' under **Start Questionnaire**.

This marks the end of principal data collection and at the closing date (currently set as 30th November) we will lock the questions in the principal entry against further change.

Yes